

Appendix 4 – Internal Audit Resource Analysis (1st April 2013 to 1st November 2013)

	Original Plan Budget (Days)	Expected to Date (Days)	Actual to Date (Days)
Gross Days	3861	2302	2148
Uncontrollable Days			
Bank Holidays	106	63	60
Annual Leave	456	272	297
Net Available Days	3299	1967	1791
Days available for direct audits and support work Available for Projects			
Main Reviews/Spot Checks	1400	880	363
Follow-up's	100	60	46
2012/13 Plan C/fwd	180	180	293
	1877	1119	701
Risk Management			
Corporate Risk Management	148	88	91
Ad hoc on-demand support/advice (risks & controls)	128	76	66
Chamberlain Business Continuity Support	5	3	3
Anti-Fraud & Corruption			
Fraud Investigations	239	142	256
Pro-active fraud & prevention	74	44	10
Audit Planning & Reporting			
Audit Planning & Reporting	49	29	21
Audit Plan progress reporting	51	30	36
External Audit Liaison/Co-ordination	10	6	8
Efficiency & Performance Review			
Support to Efficiency Board/EPSC	35	21	34
Officer Groups (Information management, Information Liaison, Transport Groups)	17	10	14
Audit Development			
Continuous Improvement	64	38	14
Audit policy, research and development	56	33	22
Audit intranet	3	2	2
Member Support			
COL Audit & Risk Management Committee	28	17	66
GSMD Audit & Risk Management Committee	6	4	4
London Councils - Audit Committee	6	4	2
Museum of London - Audit Committee	6	4	4
Police Performance & VFM Committee	3	2	4
Barbican Centre Risk/Finance Committee	6	4	5
	934	557	662

Admin Support

General (e.g. time recording/staff meetings/staff monitoring)*	236	141	256
MK Audit Automation Software	15	9	21
Other Absences**	104	62	51
Audit Training***(including Apprentice College release)	80	48	70
Corporate Training	18	11	10
CIPFA & IIA Training	35	21	20

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* includes running recruitment campaigns and office move

** sickness /medical appointments/City volunteering/Jury Service